

32692

Customer Number

Patent
Case No.: 58938US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: KENNETH A. EPSTEIN

Application No.:

Group Art Unit: Unknown

Filed:

Examiner: Unknown

Title: ILLUMINATION DEVICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
17-Dec-2003	<i>Heather M. Sumner</i>
Date	Signed by: Heather M. Sumner

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

17-Dec-2003
Date

By: *William D. Miller*
William D. Miller, Reg. No.: 37,988
Telephone No.: (651) 733-6649

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

Application Number

Filing Date

First Named Inventor

Art Unit

Unknown

Examiner Name

Unknown

Attorney Case Number

58938US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6,568,832	05-27-2003	Pillai et al.	
	A2	US- 2002/0093832	07-18-2002	Hamilton	
	A3	US- 2003/0016521	01-23-2003	Wang et al.	
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1	JP	2001-266630	09-28-2001	Kinden		
	B2	WO	03/048635	06-12-2003	Keuper et al.		
	B3						
	B4						
	B5						
	B6						
	B7						

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	Michael F. Foley, "Microstructured Plastic Optics for Display, Lighting & Telecommunication Applications"; < www.display-optics.com/technical_papers.htm >, 09-11-2003
	C2	Harbers, Gerard, "LED Backlighting for LCD Displays", www.lumileds.com/technology/technology_index.html , November 2002 SID Bay Area Meeting
	C3	

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)